

**In the United States Patent and Trademark Office**

First/Sole Applicant: Staggs, Jeff J.

Joint/Second Applicant: \_\_\_\_\_

Title: "Therapeutic Uses of Pungent Botanicals and Their Related Compounds"

**Small Entity Declaration—Independent Inventor(s)**

As a below-named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35 United States Code, to the Patent and Trademark Office with regard to my above-identified invention described in the specification filed herewith. I have not assigned, granted, conveyed, or licensed—and am under no obligation under any contract or law to assign, grant, convey, or license—any rights in the invention to either (a) any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or (b) any concern which would not qualify as either (i) a small business concern under 37 CFR 1.9(d) or (ii) a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed—or am under an obligation under contract or law to assign, grant, convey, or license—any rights in the invention is listed below:

☒ There is no such person, concern, or organization.

☐ Any applicable person, concern, or organization is listed below:

Full Name: \_\_\_\_\_

Address: \_\_\_\_\_

I acknowledge a duty to file, in the above application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

  
Signature of Sole/First Inventor

Jeff J. Staggs

Print Name of Sole/First Inventor

\_\_\_\_\_  
Signature of Joint/Second Inventor

\_\_\_\_\_  
Print Name of Second/Joint Inventor

Date of Signature: 10 November 1995

Date of Signature: \_\_\_\_\_

\*Note: A separate Small Entity Statement is required from any listed entity.

**In the United States Patent and Trademark Office**

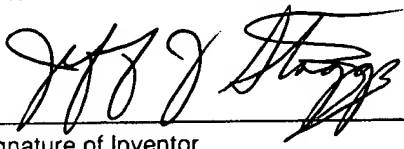
Serial Number: 08/338,489  
Appn. Filed: 18 NOVEMBER 1994  
Applicant(s): STAGGS, JEFF J.  
Appn. Title: "THERAPEUTIC USES OF PUNGENT BOTANICALS & THEIR RELATED COMPOUNDS"  
Examiner/GAU: \_\_\_\_\_

Mailed: MARCH 13, 1997  
At: DENVER, CO

**Supplemental Declaration**  
**(for Use After Close of Prosecution or With Continuation-In-Part Application)**

As an applicant in the above-identified application, I declare as follows:

1. If only one inventor is named below, I am a sole inventor, and if more than one inventor is named below, I am a joint inventor with the inventor(s) named below of the subject matter of the above-identified application.
2. I have reviewed and understand the contents of the specification and claims, as originally filed, and as amended by the amendment(s) dated MARCH 13, 1997
3. I believe that I, and the other inventor(s) named below if more than one inventor is named below, am the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.
4. I acknowledge the duty to disclose information which is material to the examination of the application in accordance with 37 C.F.R. Section 1.56(a), and if this oath accompanies or refers to a continuation-in-part application, I acknowledge the duty to disclose material information as defined in 37 C.F.R. Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.
5. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

  
Signature of Inventor  
JEFF J. STAGGS  
Printed Name of Inventor

\_\_\_\_\_  
Signature of Joint Inventor  
\_\_\_\_\_  
Printed Name of Joint Inventor

Date 3/13/97

Date \_\_\_\_\_

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## Declaration For Utility or Design Patent Application

As a below-named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below next to my name and that I believe that I am the original, first, and sole inventor [if only one name is listed below] or an original, first, and joint inventor [if plural names are listed below] of the subject matter which is claimed and for which a patent is sought on the invention, the specification of which is attached hereto and which has the following title:

Appn. Title : Therapeutic Uses of Pungent Botanicals and  
Their Related Compounds  
Int. Appn. No. : PCT/US93/04763  
Int. Filing Date: 19 May 1993  
Priority Date : 21 May 1992  
Applicant : Staggs, Jeff J.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration. I acknowledge a duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please send correspondence and make telephone calls to the First Inventor below.

Signature: Sole/First Inventor: Jeff J. Staggs

Print Name: JEFF J. STAGGS

Date: 7/15/93

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